

Unitholder's Rights

1. Before placing a purchase order or switch-in order, you have the right to know of all the other investment management companies that your selling or redemption support ("Selling Support") is also servicing.
2. You have the right to know the name and address of the investment management company and the selling support, including the name and address and the staff ID of the investment advisor who is processing your purchase or redemption order.
3. To help you make informed investment decisions, you should exercise your right to obtain information regarding the risks of the fund that the investment management company / sell support is offering for you to subscribe, including warning clauses and description of the risks involved when investing in unit trusts.
4. To ensure all relevant information is available to support your investment decision, you have the right to know of factors which may affect the fund or the investment decision that is of significance, for example whether a fund is in the process of merging with another fund.
5. You have the right to obtain information from the selling support, regarding the possible conflict of interests involved, for example, fees and compensation that the selling support earns from facilitating the subscription of the unit trusts.
6. If the selling support makes an unsolicited offer for you to subscribe to a fund, you may reject the subscription offer and inform the selling support not to make unsolicited offers to you again in the future.
7. If you are not an institutional investor and had made a subscription order in response to an unsolicited offer by a selling support, you have the right to cancel that purchase order or redeem that order, within the time period permitted by law.

Customer Complaints

You may submit complaints regarding the business operations of an investment management company, conduct of the staff or selling supports, through any of the following channels.

Investment Management Company

You may submit a written or verbal complaint to the "Compliance Unit, TMB Asset Management Co., Ltd., 32nd Floor, Abdulrahim Place, 990 Rama 4 Road, Bangrak, Bangkok 10500 Tel. 0-2838-1800 Fax. 0-2838-1706 www.tmbam.com"

If you submit a verbal complaint, you will be required to check the accuracy and endorse the record on the complaint handling form with your signature every time. The investment management company shall proceed to address your complaint. The investment management company is also required to report to the SEC all such complaints and actions taken, on a quarterly basis within 15 days after the end of each quarter.

Selling or Redemption Support ("Selling Support")

You may submit a written or verbal complaint. If you submit a verbal complaint, you will be required to check the accuracy and endorse the record on the complaint handling form with your signature every time. The selling support shall proceed to address your complaint. The selling support is also required to report to the investment management company about the complaint and action taken, within 7 days after a resolution is achieved.

The Securities and Exchange Commission (SEC)

You may submit a complaint to the SEC to request that the investment management company take action on the complaint according to the customer complaint handling procedures and report back to the SEC on the progress within 30 days from the date the complaint was submitted. If the investment management company is unable to remedy the situation within 30 days, it is required to report back to the SEC every 30 days until a resolution is achieved. The investment management company or selling support, whichever is applicable, shall notify you of the progress, in writing within 7 days after a resolution is achieved.